

## FORMALITIES LETTER

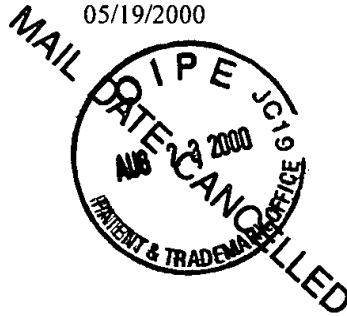


\*OC00000005261047\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/575,348	05/19/2000	Shoichi Ando	12052.33US01

Curtis B Hamre  
Merchant & Gould P C  
P O Box 2903  
Minneapolis, MN 55402



Date Mailed: 07/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$144.  
    ■ \$144 for 8 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 1094.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

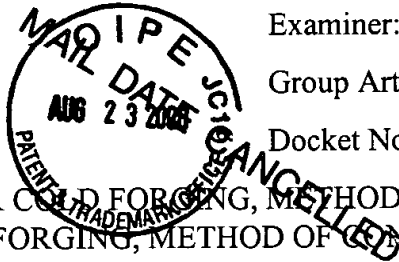
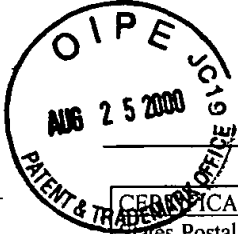
PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2000 REC'D DAF1 00000023 09575348  
 01 FC:101 690.00 OP  
 02 FC:103 144.00 OP  
 03 FC:105 130.00 OP  
 04 FC:109 546.00 OP  
 10/02/2000 TWILLIAM 00000036 132725 09575348  
 01 FC:103 18.00 CH

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ando et al. Examiner: Unknown  
Serial No.: 09/575348 Group Art Unit: Unknown  
Filed: 05/19/2000 Docket No.: 12052.33US01

Title: BILLET FOR COLD FORGING, METHOD OF MANUFACTURING BILLET  
FOR COLD FORGING, METHOD OF CONTINUOUSLY COLD-FORGING  
BILLET, METHOD OF COLD-FORGING CRANKSHAFT, METHOD OF  
COLD-FORGING DISK-SHAPED PART WITH SHAFT, AND COLD-  
FORGING DIE APPARATUS



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 23, 2000.

By: Curtis B. Hamre  
Name: Curtis B. Hamre

SUBMISSION OF MISSING PARTS

## BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee, as well as \$1,380.00 for the filing fee which was deferred at filing.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: August 23, 2000

By: Curtis B. Hamre  
Curtis B. Hamre  
Reg. No. 29,165

CBH/jlc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Sector/\$*

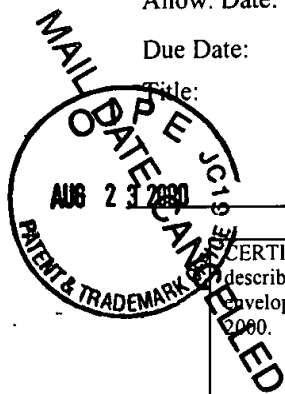
Applicant: Ando et al.  
 Serial No.: 09/575,348  
 Filed: May 19, 2000  
 Notice of Allow. Date: N/A



Examiner: Unknown  
 Group Art Unit: Unknown  
 Docket: 12052.33US01  
 Batch No.: N/A

Due Date: September 21, 2000

Title: **BILLET FOR COLD FORGING, METHOD OF MANUFACTURING BILLET FOR COLD FORGING, METHOD OF CONTINUOUSLY COLD-FORGING BILLET, METHOD OF COLD-FORGING CRANKSHAFT, METHOD OF COLD-FORGING DISK-SHAPED PART WITH SHAFT, AND COLD-FORGING DIE APPARATUS**



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box Missing Parts Assistant Commissioner for Patents, Washington, D.C. 20231, on August 23, 2000.

By:

*Curtis B. Hamre*

Curtis B. Hamre

Box Missing Parts  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Certified copies of Japanese application, Serial No. 11-139568, filed May 20, 1999, Serial No. 11-139569, filed May 20, 1999, Serial No. 11-139570, filed May 20, 1999, Serial No. 11-173803, filed June 21, 1999, Serial No. 11-173804, filed June 21, 1999, Serial No. 11-173805, filed June 21, 1999, Serial No. 11-206351, filed July 21, 1999, Serial No. 11-206352, filed July 21, 1999, the right of priority of which is claimed under 35 U.S.C. 119
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 2 Reference(s)
- ☒ Submission of Formal Drawings, 41 Sheets of Formal Drawings
- ☒ Check(s) in the amount of \$1,380.00 for filing fee, \$130.00 for Missing Parts, \$130.00 for filing of English Translation
- ☒ Other: Communication re: submission of missing parts, communication re: submission of priority documents, Verification of Translation, Preliminary Amendment, Notice to File Missing Parts of Nonprovisional application, English translation of application consisting of 71 pages of Specification, 29 claims, 1 pages of abstract, 8 tables, 41 sheets of drawings,
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
 P.O. Box 2903, Minneapolis, MN 55402-0903  
 612.332.5300

By:

*Curtis B. Hamre*

Name: Curtis B. Hamre  
 Reg. No.: 29,165  
 CBH/jlc





VERIFICATION OF TRANSLATION

I, Natsuko Kato, maintaining my place of business at Yotsuya Takagi Bldg., 2<sup>nd</sup> Floor,  
2-9, Yotsuya, Shinjuku-ku, Tokyo 160-0004 Japan, hereby

certify that the attached pages of English text are a true and correct translation of the Japanese language patent application filed on May 19, 2000, entitled BILLET FOR COLD FORGING, METHOD OF MANUFACTURING BILLET FOR COLD FORGING, METHOD OF CONTINUOUSLY COLD-FORGING BILLET, METHOD OF COLD-FORGING CRANKSHAFT, METHOD OF COLD-FORGING DISK-SHAPED PART WITH SHAFT, AND COLD-FORGING DIE APPARATUS., and assigned Serial No. 09/575,348.

I additionally attest that I have knowledge of both the Japanese and the English languages and that I am further qualified by education, experience and vocation to make this verification. I affirm under the penalty of perjury under the laws of the United States that the foregoing is correct to the best of my information and belief.

Natsuko Kato

Name: Natsuko Kato

August 17. 2000

Date

09/26/2000 09:57:348  
05 FC:135  
130.00 0P

S/N 09/575348



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ando et al.	Examiner:	Unknown
Serial No.:	09/575348	Group Art Unit:	Unknown
Filed:	05/19/2000	Docket No.:	12052.33US01
Title:	BILLET FOR COLD FORGING, METHOD OF MANUFACTURING BILLET FOR COLD FORGING, METHOD OF CONTINUOUSLY COLD-FORGING BILLET, METHOD OF COLD-FORGING CRANKSHAFT, METHOD OF COLD-FORGING DISK-SHAPED PART WITH SHAFT, AND COLD-FORGING DIE APPARATUS		

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By:

Name: Curtis B. Hamre

SUBMISSION OF PRIORITY DOCUMENT(S)

Assistant Commissioner for Patents  
Washington, D.C. 20231

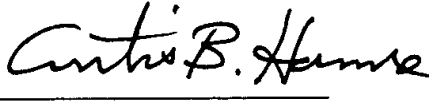
Dear Sir:

Applicants enclose herewith certified copies of Japanese applications, Serial No. 11-139568, filed May 20, 1999, Serial No. 11-139569, filed May 20, 1999, and Serial No. 11-139570, filed May 20, 1999, Serial No. 11-173803, filed June 21, 1999, Serial No. 11-173804, filed June 21, 1999, Serial No. 11-173805, filed June 21, 1999, Serial No. 11-206351, filed July 21, 1999, Serial No. 11-206352, filed July 21, 1999, the right of priority of which is claimed under 35 U.S.C. § 119.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: August 23, 2000

By 

Curtis B. Hamre  
Reg. No. 29,165

CBH/jlc